IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: July 24, 2003

In re Application of: JAY E. GEROW

Filed

July 24, 2003

For

METHOD, APPARATUS AND GAMING SET FOR USE

IN A PROGRESSIVE GAME

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Pursuant to Applicant's duty of disclosure required under 37 C.F.R. § 1.56, Applicant is submitting the enclosed, completed PTO forms 1449 as required by 37 C.F.R. § 1.97 and 1.98. The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicant respectfully requests that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

This Information Disclosure Statement includes 4 pages of PTO-1449 forms; however, it does <u>not</u> include copies of the references listed on the forms, as permitted under 37 C.F.R. § 1.98(d), because copies already were

Page 1 - INFORMATION DISCLOSURE STATEMENT

provided in an earlier U.S. application (Serial No. 10/003,679, now U.S. Patent No. 6,599,187), or a priority application thereto, that is relied on here for an earlier effective filing date under 35 U.S.C. § 120.

For each foreign document for which there is no translation, a concise statement of relevance or an English language abstract is already of record in the previously cited patent and applications identified above.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

Respectfully submitted,

KOLISCH HARTWELL, P.C.

David S. D'Ascenzo

Registration No. 39,952

of Attorneys for Applicant

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204

Telephone: (503) 224-6655

Facsimile: (503) 295-6679

72581

PATENT TRADEMARK OFFICE

FORM PTO-1	449			DOCKET NUMBER ZDI 301E		API	PLICATION	NUMBI	ER	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION				APPLICANT JAY E. GEROW						
				FILING DATE July 24, 2003	 	GR	OUP ART I	JNIT		
· ····································		U.S. PA	TÉI	NT DOCUMENTS	S	<u> </u>				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	3	SUB CLASS		DATE PPROP.	
	3,900,219	8/19/1975	D,	Amato et al.						
·	4,191,376	3/4/1980	Go	oldman et al.						
	4,323,770	4/6/1982	Di	eulot et al.						
	4,373,726	2/15/1983	Ch	urchill et al.						
	4,669,729	6/2/1987	So	litt et al.						
	4,689,742	8/25/1987	Tr	oy et al.						
	4,725,079	2/16/1988	Ko	oza et al.						
	4,740,016	4/26/1988	Ko	onecny et al.			_			
	4,764,666	8/16/1988	Ве	rgeron						
·	4,817,949	4/4/1989	Ва	chman et al.						
	4,832,341	5/23/1989	M	uller et al.						
<u>ما يو </u>	4,839,507	6/13/1989 M		May				<u> </u>		
		FOREIGN	PA'	TENT DOCUME	NTS					
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	5	SUB CLASS	TRAN:	SLATION NO	
	59-178573	10/9/1984		Japan					X	
2	2 232 358 A	12/12/1990		Great Britain				X		
			O'	THER DOCUME	NTS					
	"Tab For Unknown		nfini	ty Group, Inc., All	buquerqu	e, N	ew Mexic	co, Date	;	
·	Betty Bo	op Round Top	Desi	gns, Bally Gaming	g Systems	s, Da	ite Unkno	wn.		
EXAMINER				DATE CONSIDER	RED				 	

FORM PTO-1	449			KET NUMBER 301E	i	APP	LICATION	NUMBE	ER	
	FORMATION DISC ATION IN AN APPI		I	LICANT ' E. GEROW		·				
	····		FILING DATE July 24, 2003			GROUP ART UNIT				
		U.S. P.	ATENT	DOCUMENT	ΓS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS		SUB CLASS		DATE PROP.	
	4,842,278	6/27/1989	Marko	wicz						
	4,880,964	11/14/1989	Donah	ue						
., .	4,943,090	7/24/1990	Fienbe	rg						
	4,982,337	1/1/1991	Burr et	al.						
	5,007,641	4/16/1991	Seidm	an						
	5,039,848	8/13/1991	Stoken							
	5,046,737	9/10/1991	Fienbe	rg						
	5,129,652	7/14/1992	Wilkin	ison			.,			
	5,290,033	3/1/1994	Bittner	et al.				-		
	5,324,035	6/28/1994	Morris	et al.						
	5,344,144	9/6/1994	Canon					_		
	5,346,258	9/13/1994	Behm	et al.						
		FOREIGN	I PATEI	NT DOCUMI	ENTS			···		
	DOCUMENT NUMBER	DATE	C	OUNTRY	CLASS		SUB CLASS	TRANS YES	LATION NO	
			OTH	ER DOCUMI	ENTS					
	Nooksac pages) ar	nendment to the k Indian Tribe ad Trbial – Star ribe and the Sta	and the lite Comp	State of Wash act for Class	ington (2 III Gami	2 page ng Be	es), App etween th	endix X he Nook	(46 sack	
	Bally Ga	ming Slots, 19	96-1998	, 23 pages.						
EXAMINER				DATE CONSIDER	ED					

· · · · · · · · · · · · · · · · · · ·	·					SHEET	<u>3</u> OF			
FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER ZDI 301E				PPLICATION NUMBER			
			APPLICANT Jay E. Gerow							
			FILING DATE July 24, 2003	July 24, 2003						
		U.S. P.	ATENT DOCUMENT	ΓS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL I				
	5,348,299	9/20/1994	Clapper, Jr.							
	5,377,975	1/3/1995	Clapper, Jr.							
	5,407,199	4/18/1995	Gumina	<u> </u>						
· ·	5,407,200	4/18/1995	Zalabak	<u></u>						
	5,475,205	12/12/1995	Behm et al.							
·	5,487,544	1/30/1996	Clapper, Jr.							
	5,536,008	7/16/1996	Clapper, Jr.							
	5,536,016	7/16/1996	Thompson							
	5,580,311	12/3/1996	Haste, III							
	5,595,538	1/21/1997	Haste, III							
<u></u>	5,647,592	7/15/1997	Gerow			<u> </u>				
	5,657,899	8/19/1997	Stoken							
		FOREIGN	N PATENT DOCUME	ENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO			
	<u> </u>						<u></u>			
<u></u>			OTHER DOCUMI	ENTS		<u> </u>				
<u> </u>	Protest I	Jnder 37 C.F.R	. 1.1291(a) with Exhib		5-1997					
			ch Ticket is Here broc		·	gies. Inc	. 1997			
	+		, 2 pages, 1997.				, / /			
		·	nter System, 1 page, 1	997.						
EXAMINER	1 1 2 2 2 2 2		DATE CONSIDER							
				,						

	DATE PROP.	
CITATION IN AN APPLICATION		
July 24, 2003 U.S. PATENT DOCUMENTS		
EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB CLASS FIL IF AP 5,657,991 8/19/1997 Camarato 5,682,819 11/4/1997 Beaty 5,704,835 1/6/1998 Dietz, II 5,735,432 4/7/1998 Stoken et al. 5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al. 1 et al.		
INITIAL NUMBER CLASS IF AP 5,657,991 8/19/1997 Camarato 5,682,819 11/4/1997 Beaty 5,704,835 1/6/1998 Dietz, II 5,735,432 4/7/1998 Stoken et al. 5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al. 1 et al.		
5,682,819 11/4/1997 Beaty 5,704,835 1/6/1998 Dietz, II 5,735,432 4/7/1998 Stoken et al. 5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al.		
5,704,835 1/6/1998 Dietz, II 5,735,432 4/7/1998 Stoken et al. 5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al.		
5,735,432 4/7/1998 Stoken et al. 5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al.		
5,915,588 6/29/1999 Stoken et al. 5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al.		
5,927,541 7/27/1999 Stoken et al. 5,949,042 9/7/1999 Dietz, II et al.		
5,949,042 9/7/1999 Dietz, II et al.		
6,309,298 10/30/2001 Gerow		
<u>and a sure of the contract of</u>		
FOREIGN PATENT DOCUMENTS		
DOCUMENT DATE COUNTRY CLASS SUB TRANS NUMBER CLASS YES	TRANSLATION YES NO	
OTHER DOCUMENTS		
Oasis Technologies, Inc. Company Profile, 9 pages, 1997.		
Bally Gaming, The World's Game Maker brochure, 1998.		
Game Maker Slant-7200 product specification sheet, 1998.	<u>-</u>	
ProSlant 6000 product specification sheet, 1999.		
In a Class By Itself an Instant Ticket Validation System brochure, Infinity (Inc.		
EXAMINER DATE CONSIDERED	Group,	